

Product: BACKHOE LOADER

Model: 420F2 BACKHOE LOADER NSB

Configuration: 420F2 ADL (Air Deployable Loader) NSB00001-UP (MACHINE) POWERED BY 3054C Engine

## Operation and Maintenance Manual 416F2, 420F2 and 430F2 Backhoe Loaders

Media Number -SEBU9212-11

Publication Date -01/02/2015

Date Updated -06/11/2017

s03548206

## Foreword

### California Proposition 65 Warning

**Diesel engine exhaust and some of its constituents are known to the State of California to cause cancer, birth defects, and other reproductive harm.**



**WARNING** - This product can expose you to chemicals including ethylene glycol, which is known to the State of California to cause birth defects or other reproductive harm. For more information go to:

[www.P65Warnings.ca.gov](http://www.P65Warnings.ca.gov)

**Do not ingest this chemical. Wash hands after handling to avoid incidental ingestion.**



**WARNING** - This product can expose you to chemicals including lead and lead compounds, which are known to the State of California to cause cancer, birth defects, or other reproductive harm. For more information go to:

[www.P65Warnings.ca.gov](http://www.P65Warnings.ca.gov)

**Wash hands after handling components that may contain lead.**

## Literature Information

This manual should be stored in the operator's compartment in the literature holder or seat back literature storage area.

This manual contains safety information, operation instructions, transportation information, lubrication information, and maintenance information.

Some photographs or illustrations in this publication show details or attachments that can be different from your machine. Guards and covers might have been removed for illustrative purposes.

Continuing improvement and advancement of product design might have caused changes to your machine which are not included in this publication. Read, study, and keep this manual with the machine.

Whenever a question arises regarding your machine, or this publication, please consult your Cat dealer for the latest available information.

## **Safety**

The safety section lists basic safety precautions. In addition, this section identifies the text and locations of warning signs and labels used on the machine.

Read and understand the basic precautions listed in the safety section before operating or performing lubrication, maintenance, and repair on this machine.

## **Operation**

The operation section is a reference for the new operator and a refresher for the experienced operator. This section includes a discussion of gauges, switches, machine controls, attachment controls, transportation, and towing information.

Photographs and illustrations guide the operator through correct procedures of checking, starting, operating, and stopping the machine.

Operating techniques outlined in this publication are basic. Skill and techniques develop as the operator gains knowledge of the machine and its capabilities.

## **Maintenance**

The maintenance section is a guide to equipment care. The Maintenance Interval Schedule (MIS) lists the items to be maintained at a specific service interval. Items without specific intervals are listed under the "When Required" service interval. The Maintenance Interval Schedule lists the page number for the step-by-step instructions required to accomplish the scheduled maintenance. Use the Maintenance Interval Schedule as an index or "one safe source" for all maintenance procedures.

### **Maintenance Intervals**

Use the service hour meter to determine servicing intervals. Calendar intervals shown (daily, weekly, monthly, etc.) can be used instead of service hour meter intervals if the calendar intervals

provide more convenient servicing schedules and approximate the indicated service hour meter reading. Perform the recommended service at the interval that occurs first.

Under severe, dusty, or wet operating conditions, more frequent lubrication than is specified in the maintenance intervals chart might be necessary.

Perform service on items at multiples of the original requirement. For example, at every 500 service hours or 3 months, also service those items listed under every 250 service hours or monthly and every 10 service hours or daily.

## Certified Engine Maintenance

Proper maintenance and repair are essential to keep the engine and machine systems operating correctly. As the heavy-duty off-road diesel engine owner, you are responsible for the performance of the required maintenance listed in the Owner Manual, Operation and Maintenance Manual, and Service Manual.

It is prohibited for any person engaged in the business of repairing, servicing, selling, leasing, or trading engines or machines to remove, alter, or to render inoperative, any emission-related device or element of design installed on or in an engine or machine that is in compliance with all applicable regulations of the intended country to which it has been shipped. Certain elements of the machine and engine such as the exhaust system, fuel system, electrical system, intake air system, and cooling system may be emission-related and should not be altered unless approved by Caterpillar.

## Machine Capacity

Additional attachments or modifications may exceed machine design capacity which can adversely affect performance characteristics. Included would be stability and system certifications such as brakes, steering, and rollover protective structures (ROPS). Contact your Cat dealer for further information.

## Product Identification Number

Effective First Quarter 2001 the Product Identification Number (PIN) has changed from 8 to 17 characters. To provide uniform equipment identification, construction equipment manufacturers are moving to comply with the latest version of the product identification numbering standard. Non-road machine PINs are defined by ISO 10261. The new PIN format will apply to all machines and generator sets. The PIN plates and frame marking will display the 17 character PIN. The new format will look like the following:



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Where:

1. World Manufacturing Code (characters 1-3)
2. Machine Descriptor (characters 4-8)
3. Check Character (character 9)
4. Machine Indicator Section (MIS) or Product Sequence Number (characters 10-17). These were previously referred to as the Serial Number.

Machines and generator sets produced before First Quarter 2001 will maintain their 8 character PIN format.

Components such as engines, transmissions, axles, and work tools will continue to use an 8 character Serial Number (S/N).

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i05949637

### Safety Messages

SMCS - 7000; 7405

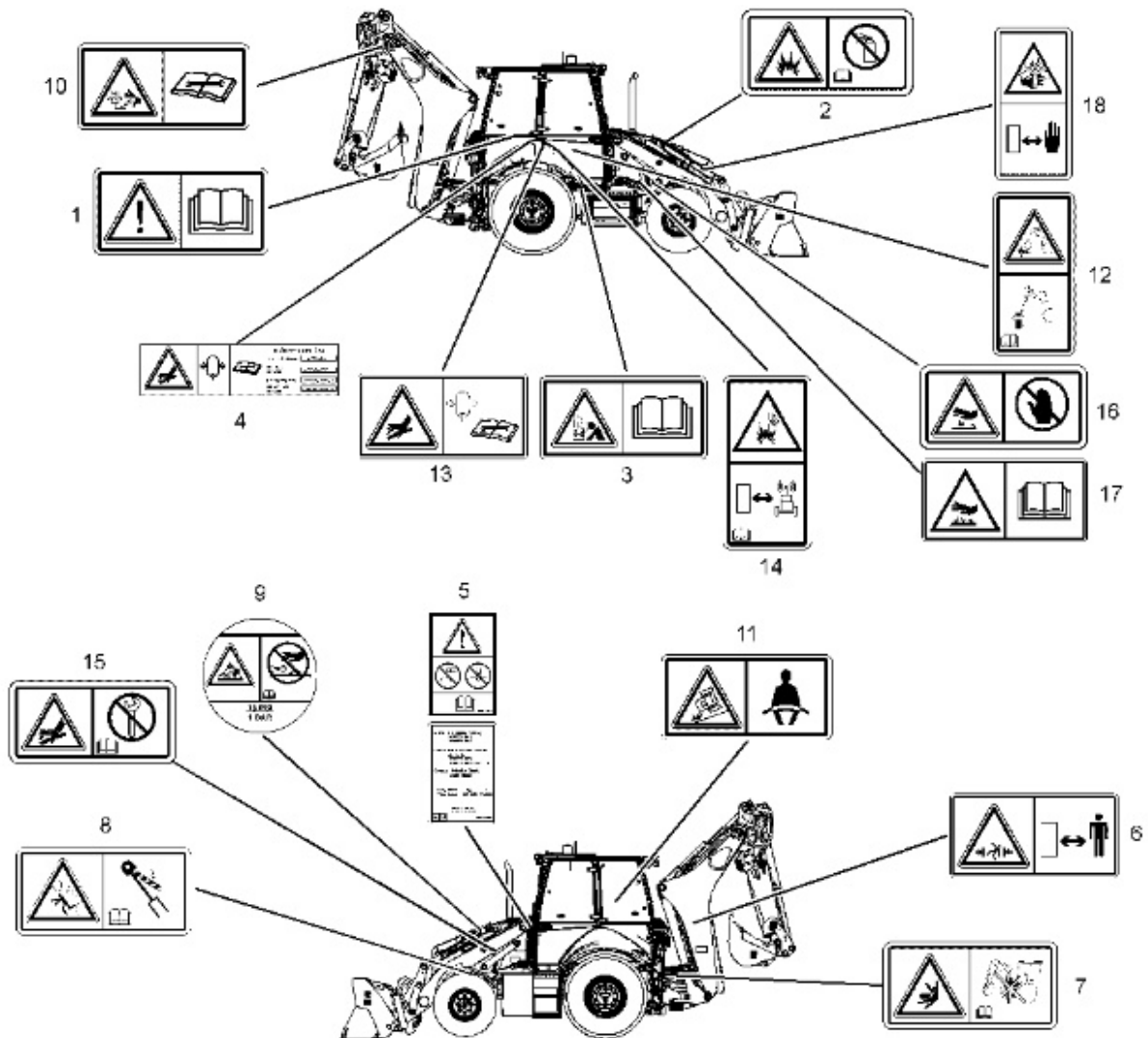


Illustration 1

g03735167

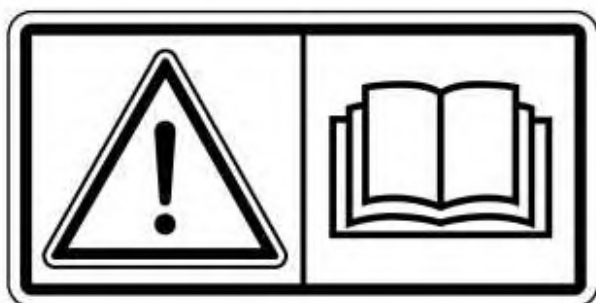
There are several specific safety messages on this machine. The exact location of the hazards and the description of the hazards are reviewed in this section. Please become familiarized with all safety messages.

Make sure that all of the safety messages are legible. Clean the safety messages or replace the safety messages if you cannot read the words. Replace the illustrations if the illustrations are not legible. When you clean the safety messages, use a cloth, water, and soap. Do not use solvent, gasoline, or other harsh chemicals to clean the safety messages. Solvents, gasoline, or harsh chemicals could loosen the adhesive that secures the safety message. Loose adhesive will allow the safety message to fall.

Replace any safety message that is damaged, or missing. If a safety message is attached to a part that is replaced, install a safety message on the replacement part. Any Caterpillar dealer can provide new safety messages.

### Do Not Operate (1)

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Illustration 2

g01370904

This safety message is located under the engine start switch.



**Do not operate or work on this machine unless you have read and understand the instructions and warnings in the Operation and Maintenance Manuals. Failure to follow the instructions or heed the warnings could result in injury or death. Contact your Cat dealer for replacement manuals. Proper care is your responsibility.**

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### No Ether In Air Inlet (2)

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Illustration 3

g01372254

This safety message is located on the air filter cover.



**If equipped with an air inlet heater (AIH) for cold weather starting, do not use aerosol types of starting aids such as ether. Such use could result in an explosion and personal injury.**

### Proper Connections for Jump Start Cables (3)

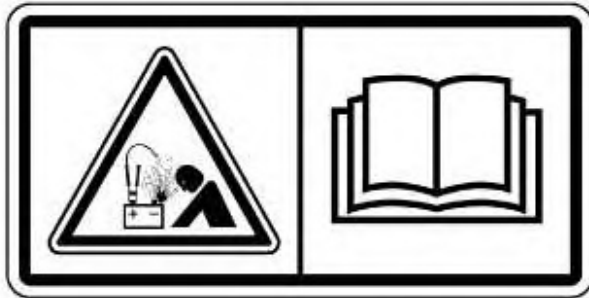


Illustration 4

g01370909

This safety message is located on the right-hand side of the machine.



**Explosion Hazard! Improper jumper cable connections can cause an explosion resulting in serious injury or death. Batteries may be located in separate compartments. When using jump start cables, always connect the positive (+) cable from the source to the positive (+) terminal**

of the battery that is connected to the starter solenoid. Connect the negative (-) cable from the source to the negative (-) terminal of the starter. If the machine is not equipped with a starter negative terminal, connect the negative (-) cable to the engine block. Follow the procedure in the Operation and Maintenance Manual.

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#### High Pressure Accumulator (4)

This safety message is located on the accumulator for the pilot operated hydraulic controls. This accumulator is located on the frame on the right rear of the machine.

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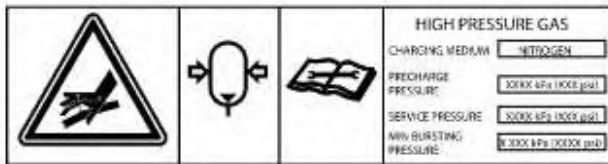


Illustration 5

g01374065



**Hydraulic accumulator contains gas and oil under high pressure. Improper removal or repair procedures could cause severe injury. To remove or repair, instructions in the service manual must be followed. Special equipment is required for testing and charging.**

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#### ROPS (5)

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Illustration 6

g01113333

This safety message is located on the cab next to the left side door.



**Structural damage, an overturn, modification, alteration, or improper repair can impair this structure's protection capability thereby voiding this certification. Do not weld on or drill holes in the structure. Consult a Caterpillar dealer to determine this structure's limitations without voiding its certification.**

**Crush Hazard (6)**



Illustration 7

g01371644

This safety message is located on the boom above the boom foot pin.



**Crushing Hazard! Stay back a safe distance. There is no clearance for a person in this area when the machine turns. Failure to follow these instructions could cause serious injury or death.**

#### No Exit (7)

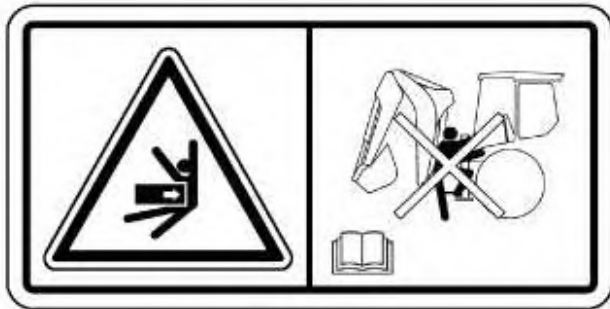


Illustration 8

g01407377

This safety message is located on the back of the cab.



**Crush hazard; This is not an entrance or exit. Stay clear of this area when the machine is operating. Start and operate the backhoe from the operator seat only. Failure to follow these warnings could result in injury or death.**

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## Brace The Lift Cylinder (8)

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Illustration 9

g01407376

This safety message is located on the brace for the loader lift arm.



**When performing any work underneath a raised loader lift arm, the loader lift arm brace must be in place. Install the loader lift arm brace as follows.**

- 1. Empty loader bucket. Remove pin that secures loader lift arm brace to left loader arm. Raise the loader arms with the bucket in the dump position.**
- 2. Position service brace over left lift cylinder with flat end against cylinder end.**
- 3. Push pin through holes of loader lift arm brace and install cotter pin.**
- 4. Slowly lower loader arms until brace contacts the top of the lift cylinder and bosses on loader arm.**
- 5. To remove loader lift arm brace, reverse procedure.**

**Failure to follow this procedure can result in death or serious injury if the loader arms are accidentally lowered.**

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Refer to Operation and Maintenance Manual, "Lift Cylinder Brace - Connect and Disconnect" for more information.

## Pressurized System (9)

This safety message is located on the cooling system filler cap.



Illustration 10

g01370913



**Pressurized system: Hot coolant can cause serious burn. To open cap, stop engine, wait until radiator is cool. Then loosen cap slowly to relieve the pressure.**

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### High Cylinder Pressure (10)

This safety message is located on both sides of the boom near the connection with the stick.

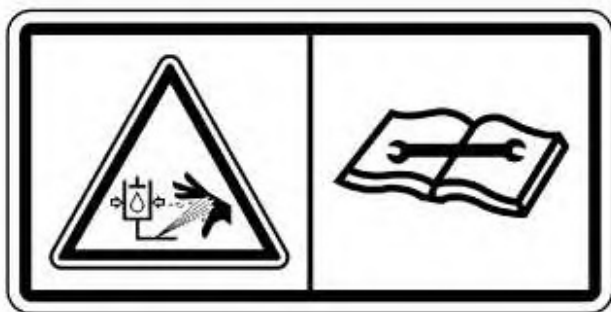


Illustration 11

g01407379



**High Pressure Cylinder. Failure to read and follow these instructions can cause rapidly discharging gas and/or hydraulic fluid which can result in death, personal injury and property damage.**

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## Seat Belt (11)

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Illustration 12

g01370908

This safety message is located under the engine start switch.



**A seat belt should be worn at all times during machine operation to prevent serious injury or death in the event of an accident or machine overturn. Failure to wear a seat belt during machine operation may result in serious injury or death.**

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## Quick Coupler (12) (If Equipped)

This safety message is located in the front of the cab on the right side.

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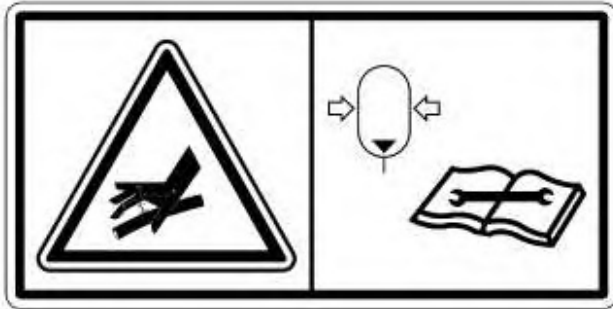


**CRUSH INJURY. COULD CAUSE SERIOUS INJURY OR DEATH.  
ALWAYS CONFIRM QUICK COUPLER PINS ARE ENGAGED.  
REFER TO THE OPERATION AND MAINTENANCE MANUAL**

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### High Pressure Accumulator (13)

This safety message is located next to the accumulator if the machine is equipped with the option for ride control. The accumulator is located behind the battery box.



**Hydraulic accumulator contains gas and oil under high pressure.  
Improper removal or repair procedures could cause severe injury. To  
remove or repair, instructions in the service manual must be followed.  
Special equipment is required for testing and charging.**

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### Product Link (14) (If Equipped)

This safety message is located in the front of the cab on the left post.

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Illustration 15

g01381177

## **WARNING**

**This machine is equipped with a Caterpillar Product Link communication device. When electric detonators are used, this communication device should be deactivated within 12 m (40 ft) of a blast site for satellite-based systems and within 3 m (10 ft) of a blast site for cellular based systems, or within the distance mandated under applicable legal requirements. Failure to do so could cause interference with blasting operations and result in serious injury or death.**

**In cases where the type of Product Link module cannot be identified, Caterpillar recommends that the device be disabled no less than 12 m (40 ft) from the blast perimeter.**

## High Pressure Fuel (15)



Illustration 16

g01381180

This safety message is located on the fuel rail on the top of the engine.



**Do not loosen any high pressure fuel lines when the engine is running. The high pressure in the fuel lines can cause personal injury or death. Wait 60 seconds after the engine has stopped to allow pressure to purge before any service or repair is performed on the engine fuel lines.**

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### Hot Surface (16)

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Illustration 17

g01372256

This safety message is located on the exhaust.

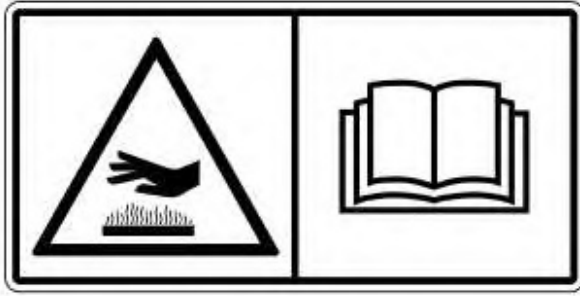


**Hot parts or hot components can cause burns or personal injury. Do not allow hot parts or components to contact your skin. Use protective clothing or protective equipment to protect your skin.**

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### Hot Surface (17)

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Illustration 18

g02761076

This safety message is located in the storage compartment that is integrated with diesel particulate filter box. Do not store anything in the box that cannot be stored above 50° C (122° F).



**Hot parts or hot components can cause burns or personal injury. Do not allow hot parts or components to contact your skin. Use protective clothing or protective equipment to protect your skin.**

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### Rotating Fan (18)

This safety message is located near the engine fan.



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Illustration 19

g02793868



**WARNING**

**Cutting Hazard! Keep hands clear of fan while engine is running. May cause serious injury or death.**

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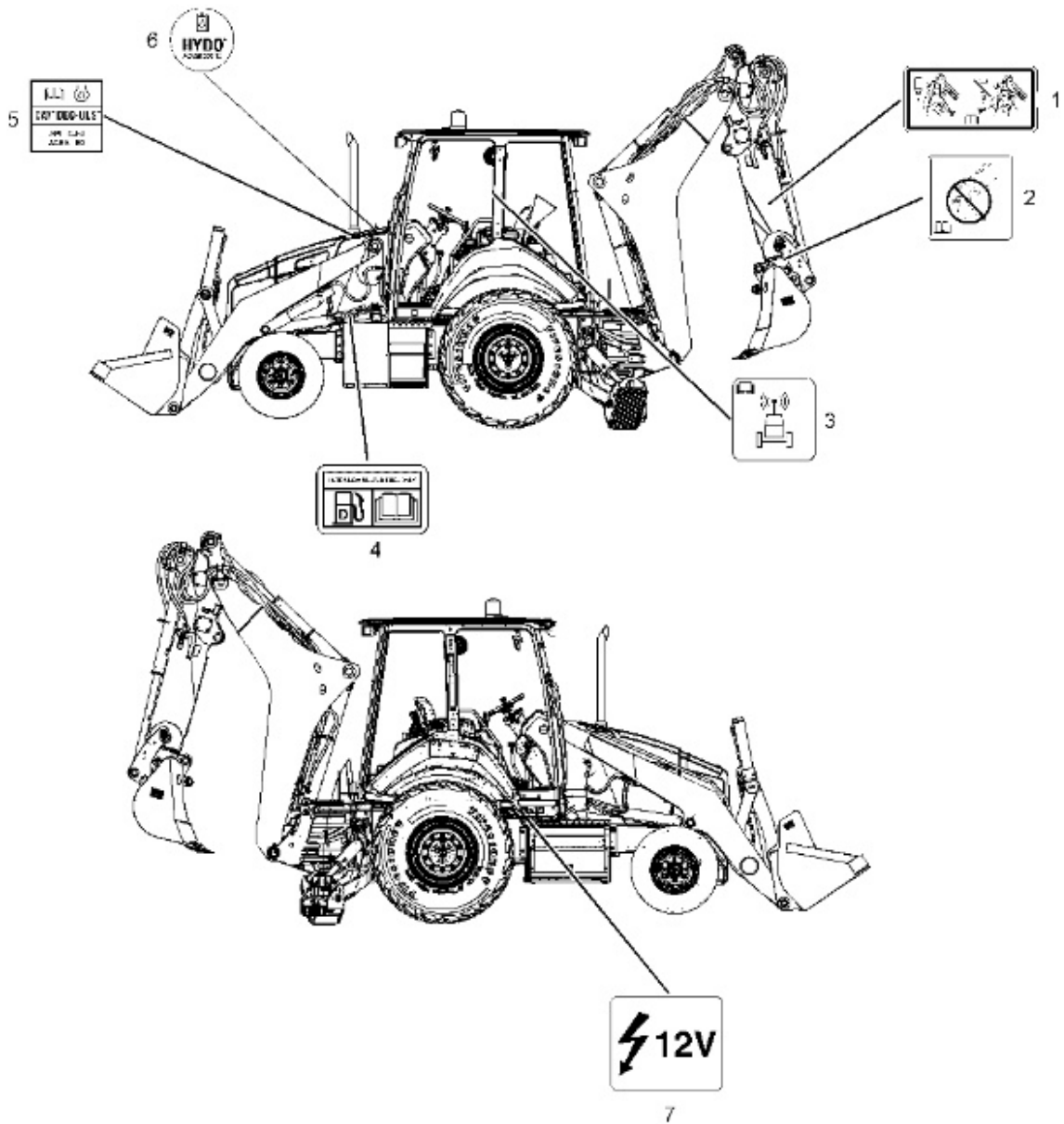
Date Updated -06/11/2017

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## **Additional Messages**

SMCS - 7000; 7405

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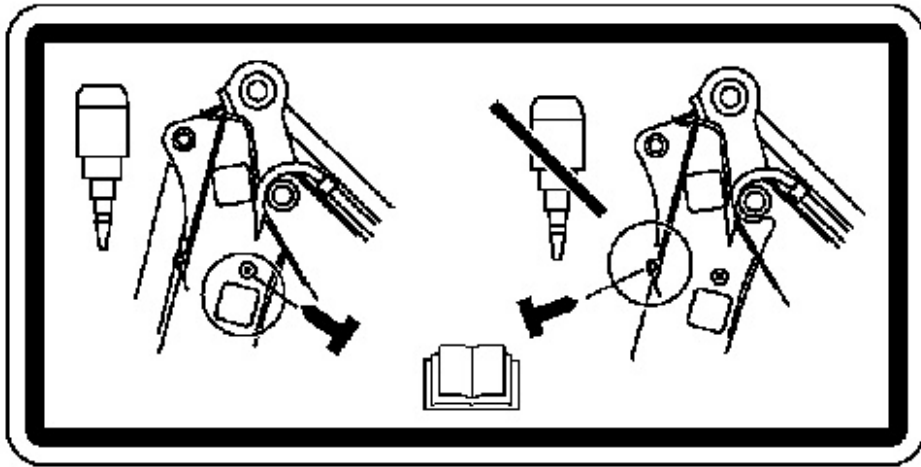
Illustration 1

g03693453

### **Pinning of the Extendable Stick (1)**

If equipped, this message is located on the stick.

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Illustration 2

g01202535

**⚠ CAUTION**

**The E-Stick must be pinned before using attachments, to prevent movement which could cause personal injury.**

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### **Improper Location of the Backhoe Bucket (2)**

This message is located by the linkage for the backhoe bucket.

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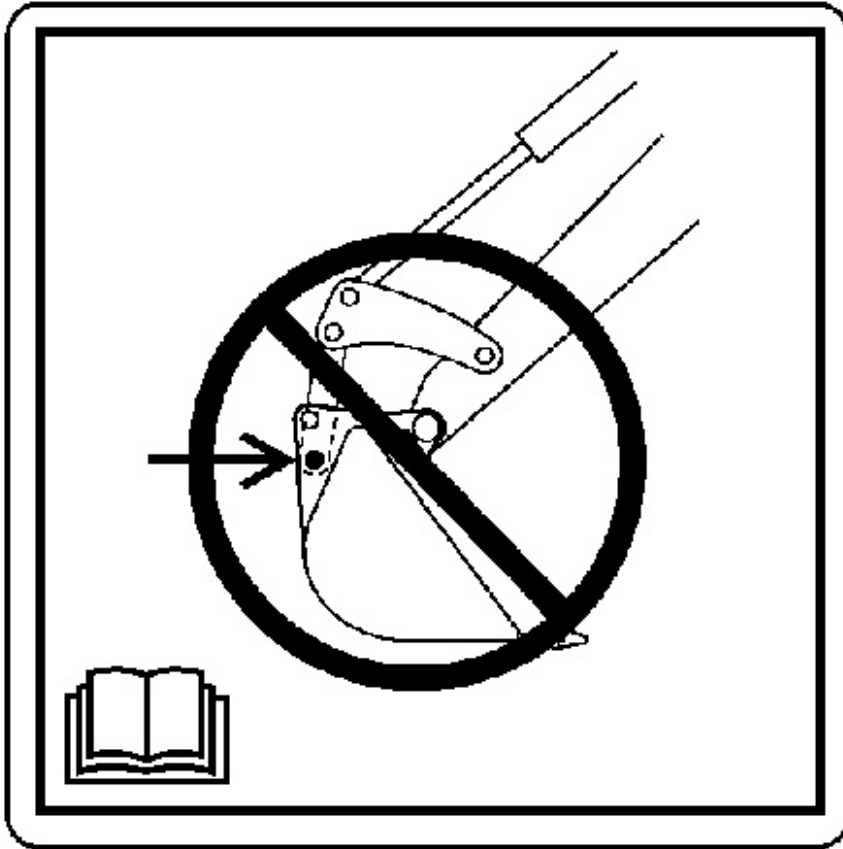


Illustration 3

g01202537



**Improper bucket pin connection can cause machine damage. Make sure the bucket pins are connected properly.**

### Data Privacy (3)

This message is located in the cab.



**Diesel Fuel Requirements (4)**

This message is located next to the fuel fill.

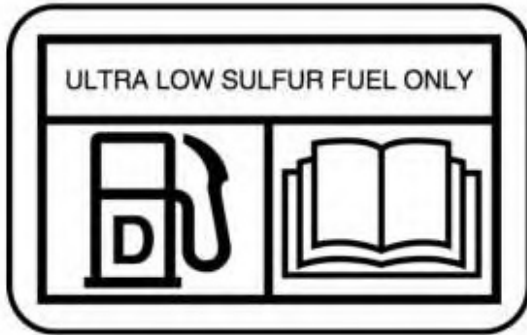


Illustration 5

g02157153

North American Film

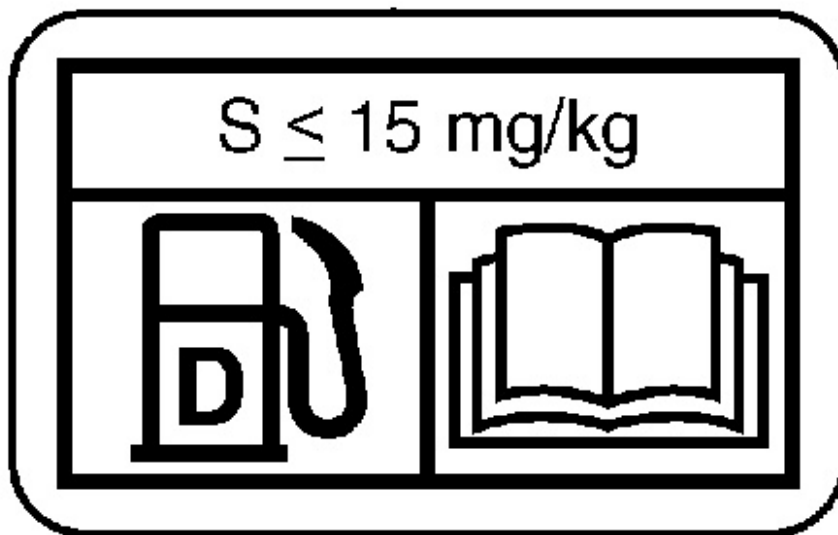


Illustration 6

g02052934

International Film

Use Ultra Low Sulfur Diesel (ULSD) fuel.

The United States (U.S.) Environmental Protection Agency (EPA) defines Ultra-Low Sulfur Diesel (ULSD - S15) as a U.S. diesel fuel with a sulfur content not to exceed 15 parts per million (ppm (mg/kg)) or 0.0015 percent by weight. Engines certified to nonroad Tier 4 standards (Stage IV in Europe) and are equipped with exhaust aftertreatment systems are designed to run on ULSD only. Use of LSD or fuels higher than 15 ppm (mg/kg) sulfur in these engines will reduce engine efficiency and engine durability and will damage emissions control systems and/or shorten the service interval. Failures that result from the use of fuels are not Cat factory defects. Therefore the cost of repairs would not be covered by a Cat warranty.



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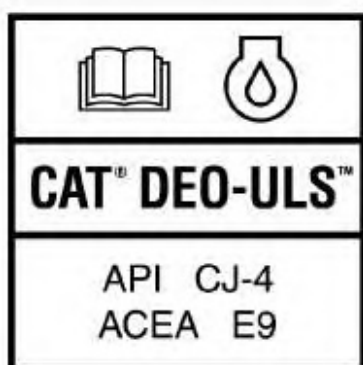
In Europe, ultra low sulfur diesel fuel will have a maximum of 0.0010 percent (10 ppm(mg/kg)) sulfur and is typically referred to as “sulfur-free”. This sulfur level is defined in European Standard "EN 590:2004".

Refer to Special Publication, SEBU6250, "Caterpillar Machine Fluids Recommendations" for more information about diesel fuels and sulfur.

Refer to Operation and Maintenance Manual, "Capacities (Refill)".

### **Required Engine Oil (5)**

This message is located in the engine compartment.



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Illustration 7

g02176761

Cat oils have been developed and tested in order to provide the full performance and life that has been designed and built into Cat engines.

Cat DEO-ULS or oils that meet the Cat ECF-3 specification and the API CJ-4 are required for use in the applications listed below. Cat DEO-ULS and oils meeting Cat ECF-3 specification and the API CJ-4 and ACEA E9 oil categories have been developed with limited sulfated ash, phosphorus, and sulfur. These chemical limits are designed to maintain the expected aftertreatment devices life, performance, and service interval. If oils meeting the Cat ECF-3 specification and the API CJ-4 specifications are not available, oils meeting ACEA E9 may be used. ACEA E9 oils meet the chemical limits designed to maintain aftertreatment device life. ACEA E9 oils are validated using some but not all ECF-3 and API CJ-4 standard engine performance tests. Consult your oil supplier when considering use of an oil that is not Cat ECF-3 or API CJ-4 qualified.

### **Machine Hydraulic System (6)**

The following message is located in the engine compartment.

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Illustration 8

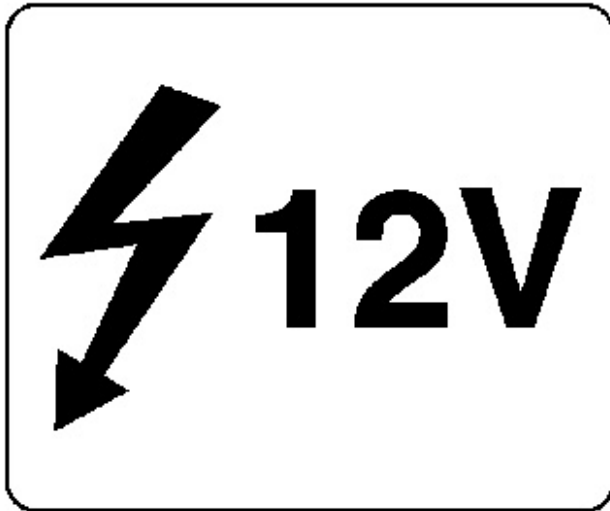
g02096113

This machine hydraulic system is filled with Cat HYDO Advanced oil. The following message is located in the engine compartment.

Refer to Operation and Maintenance Manual, "Lubricant Viscosities" for more information about the hydraulic oil.

### **12 V (7)**

The following message is located on the right side of the machine below the door.



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Illustration 9

g03407930

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